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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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#4  
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12-12-01

In re Application of: Li Mo et al.  
Serial No.: 09/848,994  
Filing Date: May 4, 2001  
Title: METHOD AND SYSTEM FOR QUALITY OF  
SERVICES (QoS) SUPPORT IN A  
PACKET-SWITCHED NETWORK

Honorable Assistant Commissioner  
for Patents  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

I hereby certify that this  
correspondence is being deposited  
with the United States Postal Service  
"Express Mail Post Office to  
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Box 2327, Arlington, VA 22202.

*Willie Jiles*

Name

*November 28, 2001*

Date of Signature

Express Mail No. EL 501000221 US

**INFORMATION DISCLOSURE STATEMENT**

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. A copy of these references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application.

Enclosed are copies of two PCT International Search Reports and one PCT Invitation to Pay Additional Fees identifying the references contained in this Information Disclosure Statement. The PCT International Search Reports

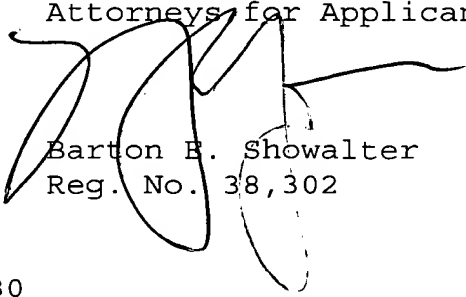
have mailing dates of October 31, 2001 and November 6, 2001, respectively and the PCT Invitation to Pay Additional Fees has a mailing date of November 7, 2001. Therefore, I hereby certify that the references contained in this Information Disclosure Statement were cited for the first time in communications from a foreign patent office in related foreign applications not more than three months prior to the filing of this Information Disclosure Statement. Furthermore, in the event a patent term adjustment is requested, pursuant to the requirements of 37 C.F.R. § 1.704, Applicants submit that the references contained in this Information Disclosure Statement were received from a foreign patent office in related foreign applications not more than thirty (30) days prior to the filing of this Information Disclosure Statement.

Pursuant to 37 C.F.R. § 1.97(c), Applicants believe that this Information Disclosure Statement has been filed before the mailing date of a final action or notice of allowance. Therefore, Applicants believe that no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.

Attorneys for Applicants



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Dallas, Texas 75201-2980  
(214) 953-6509

Date: 11/28/2001

|  |                               |                |                              |  |
|--|-------------------------------|----------------|------------------------------|--|
| PTO-1449<br><br><b>Information Disclosure Citation<br/>in an Application</b> | Application No.<br>09/848,994 |                | Applicant(s)<br>Li Mo et al. |  |
|  | Docket Number<br>064731.0167  | Group Art Unit | Filing Date<br>May 4, 2001   |  |

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## U.S. PATENT DOCUMENTS

|  |   | DOCUMENT NO. | DATE       | NAME            | CLASS | SUBCLASS | FILING DATE |
|--|---|--------------|------------|-----------------|-------|----------|-------------|
|  | A | 5,231,633    | 07/27/93   | Hluchyj et al.  | 370   | 94.1     | 07/11/90    |
|  | B | 5,825,772    | 10/20/98   | Dobbins et al.  | 370   | 396      | 04/02/96    |
|  | C | 5,850,399    | 12/15/98   | Ganmukhi et al. | 370   | 412      | 03/27/98    |
|  | D | 5,852,606    | 12/22/98   | Prince et al.   | 370   | 393      | 09/29/95    |
|  | E | 5,946,308    | 08/31/99   | Dobbins et al.  | 370   | 392      | 10/30/97    |
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|  | G | 6,018,766    | 01/25/2000 | Samuel et al.   | 709   | 218      | 07/18/97    |
|  | H | 6,028,842    | 02/22/2000 | Chapman et al.  | 370   | 235      | 12/23/96    |
|  | I |              |            |                 |       |          |             |
|  | J |              |            |                 |       |          |             |
|  | K |              |            |                 |       |          |             |
|  | L |              |            |                 |       |          |             |

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|  |   | DOCUMENT NO.    | DATE     | COUNTRY | CLASS      | SUBCLASS  | TRANSLATION |    |
|--|---|-----------------|----------|---------|------------|-----------|-------------|----|
|  |   |                 |          |         |            |           | YES         | NO |
|  | M | EP 0 849 970 A2 | 06/24/98 | EPO     | H04Q11/04  | H04L29/06 | X           |    |
|  | N | WO 99/66675     | 12/23/99 | PCT     | H04L12/46  | H04Q11/04 | X           |    |
|  | O | WO 99/11090     | 03/04/99 | PCT     | H04Q 11/04 |           | X           |    |
|  | P | EP 0 959 641 A1 | 11/24/99 | EPO     | H04Q 11/04 |           | X           |    |
|  | Q |                 |          |         |            |           |             |    |

|  |   | DOCUMENT (Including Author, Title, Source, and Pertinent Pages)  | DATE       |
|--|---|--|------------|
|  | R | International Search Report in International Application No. PCT/US 01/13723, dated October 31, 2001, 7 pages. | 10/31/2001 |
|  | S | International Search Report in International Application No. PCT/US 01/13725, dated October 31, 2001, 6 pages. | 10/31/2001 |
|  | T | International Search Report in International Application No. PCT/US 01/14624, dated November 6, 2001, 6 pages. | 11/11/2001 |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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|  |                               |                              |                            |
|--|-------------------------------|------------------------------|----------------------------|
| PTO-1449<br><br><b>Information Disclosure Citation<br/>In an Application</b> | Application No.<br>09/848,994 | Applicant(s)<br>Li Mo et al. |                            |
|  | Docket Number<br>064731.0167  | Group Art Unit               | Filing Date<br>May 4, 2001 |

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| A |              |      |      |       |          |             |
| B |              |      |      |       |          |             |
| C |              |      |      |       |          |             |
| D |              |      |      |       |          |             |
| E |              |      |      |       |          |             |
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| M |              |      |         |       |          |             |    |
| N |              |      |         |       |          |             |    |
| O |              |      |         |       |          |             |    |
| P |              |      |         |       |          |             |    |
| Q |              |      |         |       |          |             |    |

|   | DOCUMENT (Including Author, Title, Source, and Pertinent Pages)  | DATE       |
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| R | PCT Invitation to Pay Additional Fees in International Application No. PCT/US 01/13732, dated November 7, 2001, 4 pages.   | 11/07/2001 |
| S | Tsong-Ho Wu, "A Passive Protected Self-Healing Mesh Network Architecture and Applications," XP 000446089, <i>IEEE/ACM Transactions on Networking</i> , February, 1994, 13 pages. | 02/1994    |
| T |  |            |

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